

Corrigendum No.2 to the Guide for Applicants, the 3rd Flagship Projects - Restricted Call for Proposals

No.	Place in the Guide for Applicant	Initial	Modified	Rationale
1.	Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application	<p>Copy of the establishing documents of the Applicants / Associated Partners: Articles of Association, Statutes, Deed of foundation, establishing resolution law, or equivalent documents according to the national legislation etc.</p> <p>Exception: public institutions / authorities do NOT need to submit this document!</p> <p>Additionally, establishing documents will be provided for the related branch / field office, etc., that will prove its existence and the relation with the headquarter.</p>	<p>Copy of the establishing documents of the Applicants / Associated Partners: Articles of Association, Statutes, Deed of foundation, establishing resolution law, or equivalent documents according to the national legislation etc.</p> <p>Exception: public institutions / authorities do NOT need to submit this document!</p> <p>Additionally, establishing documents will be provided for the related branch / field office, etc., that will prove its existence and the relation with the headquarter¹.</p>	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.
2.	Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application	<p>The official statement of the relevant decision-making body regarding the support of the project and the availability of the own contribution for the planned investment, during the implementation of the project (e.g.</p>	<p>The official statement of the relevant decision-making body regarding the support of the project and the availability of the own contribution for the planned investment, during the</p>	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by

¹ Please see GfA, section 2.2.1.1 Eligibility of applicants

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		<p>County Council Decision, Local Council Decision, Board of Directors Decision, etc.); the document will have to be issued after the official launch of the call for proposal. This requirement does not affect the eligibility of the preparation costs estimated in Concept Note budget.</p> <p>To be provided in RO/HU language.</p>	<p>implementation of the project is attached.</p> <p>If the document is not submitted until the submission deadline, it shall be the subject of completion and the document can be produced and dated even in the completion phase. If the document is not submitted in the completion phase due to time limitation the project can go further with the assessment process and even be selected by the Monitoring Committee, under the condition that the applicant will submit the document in the pre-contracting phase.</p> <p>To be provided in RO/HU language.</p>	MC.
3.	Guide for Applicants,	Compliance letters² , at least one letter from Romanian authorities (county/ regional OR	Compliance letters³ , at least one letter from Romanian authorities	Harmonization between texts of the GfA and the

² The National Authority takes full responsibility that HU applicants will receive their compliance letters in due time (taking into account that it may happen that the County Council has to forward the documentation to national level, etc), so that they can apply for funding. Therefore,, if the County Council receives the request, including the concept note, for checking the compliance, the head of the National Authority shall be informed within 3 working days about the receipt of such request and if applicable, also about the situation if it is forwarded to the relevant ministry.

³ The National Authority takes full responsibility that HU applicants will receive their compliance letters in due time (taking into account that it may happen that the County Council has to forward the documentation to national level, etc), so that they can apply for funding. Therefore,, if the County Council receives the request, including the concept note, for checking the compliance, the head of the National Authority shall be informed within 3 working days about the receipt of such request and if applicable, also about the situation if it is forwarded to the relevant ministry.

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	<p>Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application</p>	<p>national level) and one from Hungarian County Councils, as required by the national legislations. In case the County Council is not professionally and/or legally competent or if it applies in the relevant Call for Proposal, the letter of compliance will be issued by a national ministry, according to competence. If, during the evaluation, question will be raised by the Assessment Working Group (AWG), the relevant member/ observer of the AWG will contact the relevant authority to ask for opinion with justification, i.e. Ministry of Regional Development, Public Administration and European Funds, Ministry of Culture and National Patrimony, National Park Directorate, Environmental and Water Management Directorates, State Forestry Service/National Forest Administration Romsilva, National Administration Apele Române, Ministry of Public Health, Ministry of Education, County Councils, Ministry of National Development, Ministry for National Economy, Prime Minister's Office, etc. (see Annex V.2). The letter will include proper justification of the projects (non) compliance. To be provided in EN language.</p>	<p>(county/ regional OR national level) and one from Hungarian County Councils, as required by the national legislations. In case the County Council is not professionally and/or legally competent or if it applies in the relevant Call for Proposal, the letter of compliance will be issued by a national ministry, according to competence. If, during the evaluation, question will be raised by the Assessment Working Group (AWG), the relevant member/ observer of the AWG will contact the relevant authority to ask for opinion with justification, i.e. Ministry of Regional Development, Public Administration and European Funds, Ministry of Culture and National Patrimony, National Park Directorate, Environmental and Water Management Directorates, State Forestry Service/National Forest Administration Romsilva, National Administration Apele Române, Ministry of Public Health, Ministry of Education, County Councils, Ministry of National Development, Ministry for National Economy, Prime Minister's Office, etc.</p>	<p>new version of the Methodology & criteria for assessment and selection, approved by MC.</p>
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			(see Annex V.2). The letter will include proper justification of the projects (non) compliance. In particular cases of areas where County Councils/Ministries – as applicants - are the only competent authority, they may issue the relevant Compliance Letter, as such (e.g. county roads infrastructure). To be provided in EN language.	
4.	Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application	In case of Romanian applicants: Justifying document stating the method of representation (according to the national legislation).	In case of Romanian applicants: Justifying document⁴ stating the method of representation (according to the national legislation).	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.
5.	Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application	Letter of empowerment (if the case): For RO applicants: signed and stamped by the legal representative of the applicant institution.	Letter of empowerment (if the case): For RO applicants: signed and stamped (if the case, according to the legislation in force) by the legal representative of the applicant institution.	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.

⁴ Minister Order, Local/County Council, Board of Directors Decision, etc

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6.	Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application	<p><i>In case of Romanian Beneficiaries:</i> Urban planning certificate (certificat de urbanism).</p> <p><i>In case of Hungarian Beneficiaries:</i> Preliminary declaration of construction works according to the template provided in Annex V.8., signed by a certified architect. To be provided in RO/HU language.</p>	<p><i>In case of Romanian Beneficiaries:</i> Urban planning certificate (certificat de urbanism).</p> <p><i>In case of Hungarian Beneficiaries:</i> Preliminary declaration of construction works according to the template provided in Annex V.8., signed by a certified architect.</p> <p>If the document is not submitted until the submission deadline, it shall be the subject of completion and the document can be produced and dated even in the completion phase. If the document is not submitted in the completion phase due to time limitation the project can go further with the assessment process and even be selected by the Monitoring Committee, under the condition that the applicant will submit the document in the pre-contracting phase.</p> <p>To be provided in RO/HU language.</p>	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.
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7.	Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application	<p>Title Deed⁵ (<i>extras de carte funciară/tulajdoni lap másolat</i>) issued by the Land Registry, not older than 30 calendar days, of each real estate (land and/or building) affected by the investment.</p> <p>In case the land and / or building is in concession/administration etc., it must be proved that the duration of the concession/administration of the land and/or building is based on a long term contract/enactment (min. 5 years after the estimated month for the financial closure of the project) and that the owner of the real estate has given his written agreement (no standard format) that the applicant is free to perform the investment.</p> <p>To be provided in RO/HU language.</p>	<p>Title Deed⁶ (<i>extras de carte funciară/tulajdoni lap másolat</i>) issued by the Land Registry, not older than 30 calendar days⁷, of each real estate (land and/or building) affected by the investment.</p> <p>In case the land and / or building is in concession/administration etc., it must be proved that the duration of the concession/administration of the land and/or building is based on a long term contract/enactment (min. 5 years after the estimated month for the financial closure of the project) and that the owner of the real estate has given his written agreement (no standard format) that the applicant is free to perform the investment.</p>	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.
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⁵ Exceptions are considered Title Deeds for road construction where purchase / expropriation is necessary, in case they are not yet available due to non-completion of the purchase / expropriation procedures. However, the applicants will have to provide the Title Deed **in maximum 3 months after the notification of approval for FA**, or otherwise be excluded

⁶ Exceptions are considered Title Deeds for road construction where purchase / expropriation is necessary, in case they are not yet available due to non-completion of the purchase / expropriation procedures. However, the applicants will have to provide the Title Deed **in maximum 3 months after the notification of approval for FA**, or otherwise be excluded

⁷ In case the submission deadline is extended, for the applications already submitted at the time of extension, the documents will not be renewed until pre-contracting

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			<p>If the document is not submitted until the submission deadline, it shall be the subject of completion and the document can be produced and dated even in the completion phase. If the document is not submitted in the completion phase due to time limitation the project can go further with the assessment process and even be selected by the Monitoring Committee, under the condition that the applicant will submit the document in the pre-contracting phase.</p> <p>To be provided in RO/HU language.</p>	
8.	<p>Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application</p>	<p>Declaration from the land and/or building/ item of infrastructure owner and from the concessioner/administrator stating that the land and / or building / item of infrastructure is free of any encumbrances, not the object of a pending litigation, not the object of a claim according to the relevant national legislation.</p> <p>To be provided in RO/HU language.</p>	<p>Declaration from the land and/or building/ item of infrastructure owner and from the concessioner/administrator stating that the land and / or building / item of infrastructure is free of any encumbrances, not the object of a pending litigation, not the object of a claim according to the relevant national legislation.</p> <p>If the document is not submitted until</p>	<p>Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.</p>

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			<p>the submission deadline, it shall be the subject of completion and the document can be produced and dated even in the completion phase. If the document is not submitted in the completion phase due to time limitation the project can go further with the assessment process and even be selected by the Monitoring Committee, under the condition that the applicant will submit the document in the pre-contracting phase.</p> <p>To be provided in RO/HU language.</p>	
9.	<p>Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application</p>	<p>At least the administrative act⁸ approving the opportunity to develop the technical documentation / plans / design for the investment objective subject to the grant application is attached, or a document of a similar value, in case the road infrastructure. (i.e. Government/County/Local Council Decision for approval the start of the preparation of the planned investment/design</p>	<p>At least the administrative act⁹ approving the opportunity to develop the technical documentation / plans / design for the investment objective subject to the grant application is attached, or a document of a similar value, in case the road infrastructure. (i.e. Government/County/Local Council Decision for approval the start of the</p>	<p>Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.</p>

⁸ According to the national relevant legislation („Elrendelő” for HU Applicants, Hotărâre de Consiliu Local/Județean, etc for RO Applicants)

⁹ According to the national relevant legislation („Elrendelő” for HU Applicants, Hotărâre de Consiliu Local/Județean, etc for RO Applicants)

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		of the feasibility study related to the planned investment) To be provided in RO/HU language.	preparation of the planned investment/design of the feasibility study related to the planned investment). If the document is not submitted until the submission deadline, it shall be the subject of completion and the document can be produced and dated even in the completion phase. If the document is not submitted in the completion phase due to time limitation the project can go further with the assessment process and even be selected by the Monitoring Committee, under the condition that the applicant will submit the document in the pre-contracting phase. To be provided in RO/HU language.	
10	Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application	RO applicants: Template MMP, signed/stamped by Environmental Protection Agency at county level, requested based on GO 445/2009 in reference to public and private projects impact evaluation on the	RO applicants: Template MMP ¹⁰ , signed/stamped by Environmental Protection Agency at county level, requested based on GO 445/2009 in reference to public and private projects	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by

¹⁰ Ministry Order 3427/2012

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		environment. (Annex V.10). To be provided in RO language.	impact evaluation on the environment. (Annex V.10). To be provided in RO language.	MC.
11	Guide for Applicants, Chapter 3.2. List of mandatory annexes to the Concept Note / Full Application	In case the road infrastructure involves land acquisition (purchase / expropriations): For RO applicants: Government/county/local council Decision approving the start of the purchase / expropriation procedure and the approval of the technical and economic indicators for the respective investment For HU applicants: If available, the Building Permit OR the proof that the process of obtaining the building permit has started, issued by the competent authority. To be provided in RO/HU language.	In case the road infrastructure involves land acquisition (purchase / expropriations): For RO applicants: Government/county/local council Decision approving the start of the purchase / expropriation procedure and the approval of the technical and economic indicators for the respective investment For HU applicants: If available, the Building Permit OR the proof that the process of obtaining the building permit has started, issued by the competent authority. If the document is not submitted until the submission deadline, it shall be the subject of completion and the document can be produced and dated even in the completion phase. If the document is not submitted in the	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.

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			completion phase due to time limitation the project can go further with the assessment process and even be selected by the Monitoring Committee, under the condition that the applicant will submit the document in the pre-contracting phase. To be provided in RO/HU language.	
12	Guide for Applicants, Chapter 4.1. Concept Notes (chapter 4. Assessment and selection of Applications)	Exceptionally, in case the proposal is not fully compliant in the case of the costs limitations (criteria B20÷B23), the project proposal will be passed for quality assessment under the condition that the necessary budget-cuts ¹¹ will be made in the quality assessment phase.	The text was deleted.	Some criteria referring to costs limitation will be assessed in quality phase (according to the approved Methodology & criteria for assessment and selection)
13	Guide for Applicants, Chapter 4.1. Concept Notes (chapter 4. Assessment and selection of Applications)	Next step is the quality assessment ¹² carried out by external assessors contracted by the MA.	Next step is the quality assessment ¹³ carried out by external assessors contracted by the MA. If during the assessment, an assessor	Some criteria referring to costs limitation will be assessed in quality phase (according to the approved Methodology & criteria for

¹¹ If during the assessment, an assessor considers that a cost is non-eligible, it will propose budget-cuts, and the project will be approved under condition. So, the approved budget will not contain non-eligible costs.

¹² The assessment grid for the CN quality assessment is presented in Annex VII.2 – CN Quality assessment grid.

¹³ The assessment grid for the CN quality assessment is presented in Annex VII.2 – CN Quality assessment grid.

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	Applications)		considers that a cost is non-eligible, it will propose budget-cuts, and the project will be approved under condition. So, the approved budget will not contain non-eligible costs.	assessment and selection)
14	Guide for Applicants, Chapter 4.1. Concept Notes (chapter 4. Assessment and selection of Applications)	The table below reflects an example of how to calculate the proportionality between the contribution to the Programme's output indicators and the estimated project budget.	The table below reflects an example of how to calculate the proportionality between the contribution to the Programme's output indicators and the estimated project budget. The assessor will verify the information provided, in terms of reality and proper justification.	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.
15	Guide for Applicants, Chapter 4.1. Concept Notes (chapter 4. Assessment and selection of Applications)	If certain information is not very clear, supplementary clarifications, but not completions , will be requested only once during the quality assessment.	If certain information is not very clear, supplementary clarifications in terms of content (indicators, activities, budget, etc.), but not completions , will be requested only once during the quality assessment.	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by MC.
16	Guide for Applicants, Chapter 4.2. Full Applications (chapter	In case the proposal is not fully compliant, as for instance in the case of the costs limitations (criteria B12÷B16), the project proposal will be	The text was deleted.	Some criteria referring to costs limitation will be assessed in quality

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	4. Assessment and selection of Applications)	passed for quality assessment under the condition that the necessary budget-cuts ¹⁴ will be made in the quality assessment phase.		phase (according to the approved Methodology & criteria for assessment and selection)
17	Guide for Applicants, Chapter 4.2. Full Applications (chapter 4. Assessment and selection of Applications)	Second step, the quality assessment ¹⁵ is carried out by external assessors contracted by the MA.	Second step, the quality assessment ¹⁶ is carried out by external assessors contracted by the MA. If during the assessment, an assessor considers that a cost is non-eligible, it will propose budget-cuts, and the project will be approved under condition. So, the approved budget will not contain non-eligible costs.	Some criteria referring to costs limitation will be assessed in quality phase (according to the approved Methodology & criteria for assessment and selection)
18	Guide for Applicants, Chapter 4.4. Complaints	The complaint must be submitted by the Lead Applicant in no more than 10 working days from the date of receiving the rejection letter sent by the JS.	The complaint must be submitted by the Lead Applicant in no more than 10 working days from the date of the rejection letter based on MC decision, sent by the JS.	Harmonization between texts of the GfA and the new version of the Methodology & criteria for assessment and selection, approved by

¹⁴ If during the assessment, an assessor considers that a cost is non-eligible, it will propose budget-cuts, and the project will be approved under condition. So, the approved budget will not contain non-eligible costs.

¹⁵ The assessment grid for the FA quality assessment is presented in ANNEX VII.4 - FA Quality assessment grid.

¹⁶ The assessment grid for the FA quality assessment is presented in ANNEX VII.4 - FA Quality assessment grid.

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				MC.
19	Annex VII. Assessment grids (VII.1, VII.2, VII.3, VII.4)	Initial version of the assessment grids.	Approved version of the assessment grids.	There is a new version of the Methodology & criteria for assessment and selection approved by MC.