

Corrigendum No.3 to the Guide for Applicants, Flagship Projects - Restricted Call for Proposals – April 2017

No.	Place in the Guide for Applicant	Initial	Modified	Rationale
1	Guide for Applicants Chapter 2.1 Description of the call Page 19	The Programme is launching the present Call for proposals with deadline for submitting Concept Notes until 24 th April 2017.	The Programme is launching the present Call for proposals with deadline for submitting Concept Notes until 31 th May 2017.	Request of the Monitoring Committee Members
2	Guide for Applicants Chapter 2.1 Description of the call Page 20	Deadline for submitting Concept Notes: 24 th April 2017	Deadline for submitting Concept Notes: 31 th May 2017	Request of the Monitoring Committee Members
3	Guide for Applicants Chapter 3. How to apply Page 43	The Concept Note application can only be submitted until <24 st April 2017>, 16:00 hours Bucharest time (EET).	The Concept Note application can only be submitted until <31 st May 2017>, 16:00 hours Bucharest time (EET).	Request of the Monitoring Committee Members
4	Guide for Applicants Chapter 2.2.1.2. Eligibility of actions (operations)	The projects which have initiated investments in infrastructure (even not physically completed or fully implemented) before the application for funding under the Programme	The following text was completed: The projects which have initiated investments in infrastructure (even not physically completed or fully	Clarification

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	Page 32	are not eligible.	implemented) before the application for funding under the Programme are not eligible, if the initiated investment is still ongoing and the application contains the same investment in infrastructure.	
5	Guide for Applicants Chapter 3.2 List of mandatory annexes to the Concept Note / Full Application Page 48	Feasibility study (see Annex VI.3 ¹⁹) / Documentation for approval of intervention works (see Annex VI.4) according to the mandatory format ²⁰ , including geotechnical, geological, hydrological, hydro geotechnical, photometric and stability surveys concerning the investment location, where relevant, according to the national legislation. The Feasibility study / Documentation for approval of intervention works or its revised version should be valid according to the national legislation at the time of submission of the application for the present Call for Proposals (the document must bear the date of elaboration/revision). However, this/these documents(s) should be submitted as supporting documents to the Application Form and should be accompanied by the legal agreements and approvals (e.g. Local/County Council Decision, proof of the reception of the	For RO Applicants: Feasibility study ¹⁹ / Documentation for approval of intervention works ²⁰ or its revised version should be valid according to the national legislation at the time of submission of the application for the present Call for Proposals (the document must bear the date of elaboration/ revision). However, this/these documents(s) should be submitted as supporting documents to the Application Form and should be accompanied by the legal agreements and approvals (e.g. Local/County Council Decision, proof of the reception of the service, etc.). To be provided in EN language. For HU applicants: Feasibility Study (see Annex VI.3) shall be submitted for new investment objectives	Provisions of HU national legislation

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		<p>service, etc.).</p> <p>For the projects involving the construction / upgrading / modernization of transport infrastructure, a traffic study must be presented containing data on the current and the estimated traffic.</p> <p>To be provided in EN language.</p> <p>¹⁹Shall be submitted for new investment objectives. The template is provided by RO legislation. The attached version is an unofficial translation and it is subject to modification depending on legislative changes.</p> <p>²⁰Shall be submitted for intervention at already existing investment objectives. The template is subject to modification depending on legislative changes.</p>	<p>(the document contains the compulsory elements, according to the Gov. Decree 312/2012 Annex VIII (Chapter 1-1.4.) / Documentations for approval of intervention works (see Annex VI.4) shall be submitted for intervention at already existing investment objectives and it contains the list of mandatory documents, in case works are not subject to building permit, as follows:</p> <ul style="list-style-type: none"> • technical description (HU: <i>műszaki leírás</i>) • the diagrammatical plan (HU: <i>vázrajz</i>) • the general plan of the building site (HU: <i>átnézeti helyszínrajz</i>). <p>To be provided in EN language.</p> <p>For the projects involving the construction / upgrading / modernization of transport infrastructure, a <i>traffic study</i> must be presented containing data on the current and the estimated traffic.</p> <p>To be provided in EN language.</p>	
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			<p>¹⁹ Shall be submitted for new investment objectives.</p> <p>²⁰ Shall be submitted for intervention at already existing investment objectives.</p>	
6	<p>Guide for Applicants</p> <p>VI. Full Application and annexes</p> <p>3 Feasibility Study template</p>	<p>Annex VI.3. Initial version:</p>	<p>Annex VI.3. Modified version: <i>FEASIBILITY STUDY</i>¹</p> <p><i>Compulsory elements for HU applicants / beneficiaries</i></p> <p>Template</p> <p>To be drafted for new investments, according to Gov. Decree 312/2012 Annex VIII (Chapter 1-1.4.)</p> <p>1. Technical descriptions: text presenting the main elements of the construction, and can contain also drawings, if necessary</p> <p>1.1. Technical description of the construction:</p> <p>1.1.1. Description of the functions of the original building and of the new functions of the reconstructed, enlarged building, as well as the area of the building.</p> <p>1.1.2. Parameters that have to be included according to regulation, but which do not appear on drawings (area of the land covered by building, ratio of constructed</p>	<p>Provisions of HU national legislation</p>

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			<p>area and green areas, distance between the buildings, etc.).</p> <p>1.1.3. Value of the construction calculated according to the relevant Government Decree.</p> <p>1.1.4. Information and data necessary to establish which are the responsible authorities that need to be consulted for the authorization</p> <p>1 In case of work components requiring building permit and authorization</p> <p>Hungarian legislation can be downloaded here: https://net.jogtar.hu/jr/gen/hjegy_doc.cgi?docid=a1200312.kor</p>	
7	<p>Guide for Applicants</p> <p>VI. Full Application and annexes</p> <p>4 DAIW template</p>	<p>Annex VI.4. Initial version:</p>	<p>Annex VI.4. Modified version:</p> <p>Documentations for approval of intervention works, in case they are not subject to building permit.</p> <p>for Hungarian beneficiaries</p> <p>Please be noted that in case the works are not subject to building permit, the Hungarian (Lead) Applicant / Beneficiary shall submit the following documents:</p> <p>1. technical description (HU: műszaki leírás)</p>	<p>Provisions of HU national legislation</p>

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			<p>2. the diagrammatical plan (HU: vázrajz)</p> <p>3. the general plan of the building site (HU: átnézeti helyszínrajz)</p> <p>Those documents shall be certified by the legally authorized representative of the Hungarian (Lead) Applicant / Beneficiary planning to implement the works component.</p>	
8	<p>Guide for Applicants</p> <p>III. Programme general rules</p> <p>1. Programme general rules on eligibility of expenditures</p>	Annex III.1. Initial version	<p>The following text was deleted (new, more detailed text was inserted):</p> <p>Interreg V-A Romania-Hungary programme requires the justification of the market price in case of procurement of services, equipment and infrastructure above EUR 2,500.00 net equivalent values (without VAT)</p> <p>-for any procurement exceeding this threshold, in the assessment and selection phase;</p> <p>-for any procurement exceeding this threshold, but below the public procurements thresholds, in the implementation phase.</p>	Clarification of the requirements

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9	<p>Guide for Applicants</p> <p>III. Programme general rules</p> <p>1. Programme general rules on eligibility of expenditures</p>	<p>Annex III.1. Initial version</p>	<p>The following text was inserted:</p> <p>Eligibility of costs for purchase of equipment, services and work is subject to the full respect of national public procurement rules, according to the thresholds, and for the type of entities as defined in the national law besides the EU and programme rules. For expenditure where no public procurement procedure is required by the national law (below national threshold):</p> <p>1. Above EUR 2500 (excluding VAT): Beneficiaries must perform and document the execution of adequate market searches (proper justification of the estimated cost, the terms of references, at least 3 valid, comparable, independent offers and the documented summary, including the justification of the selection of the winning bid, Hu beneficiaries using centralized e-procurement services and RO beneficiaries preferably using SEAP – electronic system for public procurement).</p>	<p>Clarification of the requirements</p>
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			<ul style="list-style-type: none"> a. This is meant to provide a sound knowledge and sufficient information on the relevant market, allowing for a sound comparison of offers in terms of price and/or quality and a profound assessment of the adequacy of the price to be paid. b. Consequently, the value and the complexity of the service should be reflected in the specification and breakdown of the terms of reference, as well as in the respective offer. c. For ordering similar services / external expertise which has already been procured in the period of 3 months counted back at the time of the procurement, collecting of the bids (three independent offers) is not an obligation, but the previously requested offers can be used as proof. <p>2. Below EUR 2500 (excluding VAT): the</p>	
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			<p>principles of sound financial management must be applied, but no specific proof of the market price is required. Note that procurement must not be split artificially to circumvent the 2500 Euro threshold. More strict approach can be applied on National level.</p> <ul style="list-style-type: none"> ➤ In order to ensure compliance with market prices, any purchase of goods, services or works (or other comparable transactions) will be carried out through a competitive, transparent, non-discriminatory and unconditional procedure. Regardless the procurement procedure, in case only one bid is submitted, this will not normally be sufficient to prove the market price. In this case, to justify the market price, for validation of the expenditure, 2 additional valid, comparable, independent offers will be provided (websites pricelists, if available) <p>Any procurement above EUR 2500 net, will be widely publicized, through at least the following channels: the Programme's</p>	
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			website, the beneficiary's website. The announcement will be published prior to launching the procurement process.	
10	<p>Guide for Applicants</p> <p>V. CN Application Form and annexes</p> <p>3 Project (Lead) Applicant Declaration</p>	<p>Neither the body/institution I represent, nor its legal representatives are subject to a conflict of interests; the conflict of interests represents any circumstances that may affect the evaluation or implementation process, in an objective and impartial manner. Such circumstances may result from economic interests, political or national preferences or family connections.</p>	<p>A completion was added:</p> <p>Neither the body/institution I represent, nor its legal representatives are subject to a conflict of interests, as defined by the national legislation; the conflict of interests represents any circumstances that may affect the evaluation or implementation process, in an objective and impartial manner. Such circumstances may result from economic interests, political or national preferences or family connections.</p>	Clarification
11	<p>Guide for Applicants</p> <p>VI. AF Application Form and annexes</p> <p>2 Project (Lead) Applicant Declaration</p>	<p>Neither the body/institution I represent, nor its legal representatives are subject to a conflict of interests; the conflict of interests represents any circumstances that may affect the evaluation or implementation process, in an objective and impartial manner. Such circumstances may result from economic interests, political or national preferences or</p>	<p>A completion was added:</p> <p>Neither the body/institution I represent, nor its legal representatives are subject to a conflict of interests, as defined by the national legislation; the conflict of interests represents any circumstances that may affect the evaluation or implementation process, in an objective and impartial manner. Such circumstances may result from economic interests, political or national preferences</p>	Clarification

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		family connections.	or family connections.	
12	<p>Guide for Applicants</p> <p>VI. AF Application Form and annexes</p> <p>2 Project (Lead) Applicant Declaration</p>	<p>The body/institution I represent commits itself in complying with the relevant public procurement rules (internal, national, European and programme specific rules if existing) and in making the results available to the public, free of charge, under equal conditions, even during the period of sustainability;</p>	<p>A footnote was inserted:</p> <p>The body/institution I represent commits itself in complying with the relevant public procurement rules (internal, national, European and programme specific rules if existing) and in making the results available to the public, free of charge, under equal conditions, even during the period of sustainability²;</p> <p>² No commercial activities are to be carried out in the context of the project, during both the implementation and the sustainability periods.</p>	Clarification