

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

No.	Place in the Guide for Applicant	Initial	Modified	Rationale
1	Guide for Applicants Chapter 2.1. Description of the call Page 19	Deadline for submitting Project Proposals: 24 th April 2017	Deadline for submitting Project Proposals: 31 th May 2017	Request of the Monitoring Committee Members
2	Guide for Applicants Chapter 3. How to apply Page 36	Application can only be submitted until <24 th April 2017>, 16:00 hours Bucharest time (EET). Please take time zone differences into account!	Application can only be submitted until <31 th May 2017>, 16:00 hours Bucharest time (EET). Please take time zone differences into account!	Request of the Monitoring Committee Members
3	Guide for Applicants Chapter 2.2.1.2. Eligibility of actions (projects) Page 29	-	The following text was inserted: The projects which have initiated investments in infrastructure (even not physically completed or fully implemented) before the application for funding under the Programme are not eligible, if the initiated investment is still ongoing and the application contains the same investment in infrastructure.	Clarification

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

4	<p>Guide for Applicants Chapter 3.2 List of mandatory Annexes to the Application Form Page 41</p>	<p>For HU applicants: If available, the Building Permit, issued based on the <i>Feasibility Study</i> and the Technical Documentation OR the proof that the process of obtaining the building permit has started, issued by the competent authority. To be provided in RO / HU language.</p>	<p>For HU applicants: If available, the Building Permit OR the proof that the process of obtaining the building permit has started, issued by the competent authority. To be provided in RO / HU language.</p>	<p>Provisions of HU national legislation</p>
5	<p>Guide for Applicants 3.2 List of mandatory Annexes to the Application Form Page 42</p>	<p>In order to support your project proposal, the Programme recommends you, also submit the following supporting documents to the Application Form, if there are available: Feasibility study (see Annex V.3 for HU applicants)¹⁹ / Documentation for approval of intervention works (see Annex V.4 for HU applicants)²⁰ according to the mandatory format, including geotechnical, geological, hydrological, hydro geotechnical, photometric and stability surveys concerning the investment location, where relevant, according to the national legislation. The Feasibility Study/Documentation for approval of intervention works or its revised version is valid according to the national legislation (the document must bear the date of elaboration / revision) and should be accompanied by the legal agreements and approvals (e.g. Local/County Council Decision,</p>	<p>In order to support your project proposal, the Programme recommends you, to also submit the following supporting documents to the Application Form, if available: <i>For RO applicants:</i> Feasibility study¹⁹ / Documentation for approval of intervention works²⁰. The Feasibility Study/ DAIW or its revised version is valid according to the national legislation (the document must bear the date of elaboration / revision) and should be accompanied by the legal agreements and approvals (e.g. Local/County Council Decision, proof of the reception of the service, etc.). Please be advised that English translation is compulsory. <i>For HU applicants:</i> Feasibility Study (see Annex V.3) shall be submitted for new investment objectives (the document contains the compulsory elements,</p>	<p>Provisions of HU national legislation</p>

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

		<p>proof of the reception of the service, etc.). Please be advised that English translation is compulsory.</p> <p>¹⁹Shall be submitted for new investment objectives. The template is provided by RO legislation. The attached version is an unofficial translation and it is subject to modification depending on legislative changes</p> <p>²⁰Shall be submitted for intervention at already existing investment objectives. The template is subject to modification depending on legislative changes</p>	<p>according to the Gov. Decree 312/2012 Annex VIII (Chapter 1-1.4.) / Documentations for approval of intervention works (see Annex V.4) shall be submitted for intervention at already existing investment objectives and it contains the list of mandatory documents, in case works are not subject to building permit, as follows:</p> <ul style="list-style-type: none"> • technical description (HU: <i>műszaki leírás</i>) • the diagrammatical plan (HU: <i>vázrajz</i>) • the general plan of the building site (HU: <i>átnézeti helyszínrajz</i>). <p>Please be advised that English translation is compulsory.</p> <p>¹⁹Shall be submitted for new investment objectives.</p> <p>²⁰Shall be submitted for intervention at already existing investment objectives.</p>	
6	<p>Guide for Applicants</p> <p>V. Application Form and annexes</p> <p>3 Feasibility Study_template</p>	Annex V.3. Initial version	<p>Annex V.3. Modified version:</p> <p><i>FEASIBILITY STUDY</i> ¹</p> <p><i>Compulsory elements for HU applicants / beneficiaries</i></p> <p>Template</p> <p>To be drafted for new investments according to Gov. Decree 312/2012 Annex VIII (Chapter 1-1.4.)</p>	Provisions of HU national legislation

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

			<p>1. Technical descriptions: text presenting the main elements of the construction, and can contain also drawings, if necessary</p> <p>1.1. Technical description of the construction:</p> <p>1.1.1. Description of the functions of the original building and of the new functions of the reconstructed, enlarged building, as well as the area of the building.</p> <p>1.1.2. Parameters that have to be included according to regulation, but which do not appear on drawings (area of the land covered by building, ratio of constructed area and green areas, distance between the buildings, etc.).</p> <p>1.1.3. Value of the construction calculated according to the relevant Government Decree.</p> <p>1.1.4. Information and data necessary to establish which are the responsible authorities that need to be consulted for the authorization</p> <p>1 In case of work components requiring building permit and authorization Hungarian legislation can be downloaded here: https://net.jogtar.hu/jr/gen/hjegy_doc.cgi?docid=a1200312.kor</p>	
--	--	--	--	--

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

7	<p>Guide for Applicants</p> <p>V. Application Form and annexes</p> <p>4 DAIW template</p>	<p>Annex V.4. Initial version</p>	<p>Annex V.4. Modified version:</p> <p>Documentations for approval of intervention works, in case they are not subject to building permit.</p> <p>for Hungarian Applicants / Beneficiaries</p> <p>Please be noted that in case the works are not subject to building permit, the Hungarian (Lead) Applicant / Beneficiary shall submit the following documents:</p> <ol style="list-style-type: none"> 1. technical description (HU: műszaki leírás) 2. the diagrammatical plan (HU: vázrajz) 3. the general plan of the building site (HU: átnézeti helyszínrajz) <p>Those documents shall be certified by the legally authorized representative of the Hungarian (Lead) Applicant / Beneficiary planning to implement the works component.</p>	<p>Provisions of HU national legislation</p>
8	<p>Guide for Applicants</p> <p>III. Programme general rules</p> <p>1. Programme general rules on</p>	<p>Annex III.1. Initial version</p>	<p>The following text was deleted (new, more detailed text was inserted):</p> <p>Interreg V-A Romania-Hungary programme requires the justification of the market price in case of procurement of services, equipment and</p>	<p>Clarification of the requirements</p>

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

	eligibility of expenditures		<p>infrastructure above EUR 2,500.00 net equivalent values (without VAT)</p> <p>-for any procurement exceeding this threshold, in the assessment and selection phase;</p> <p>-for any procurement exceeding this threshold, but below the public procurements thresholds, in the implementation phase.</p>	
9	<p>Guide for Applicants</p> <p>III. Programme general rules</p> <p>1. Programme general rules on eligibility of expenditures</p>	Annex III.1. Initial version	<p>The following text was inserted:</p> <p>Eligibility of costs for purchase of equipment, services and work is subject to the full respect of national public procurement rules, according to the thresholds, and for the type of entities as defined in the national law besides the EU and programme rules. For expenditure where no public procurement procedure is required by the national law (below national threshold):</p> <p>1. Above EUR 2500 (excluding VAT): Beneficiaries must perform and document the execution of adequate market searches (proper justification of the estimated cost, the terms of references, at least 3 valid, comparable, independent offers and the documented summary, including the justification of the selection of the winning bid,</p>	Clarification of the requirements

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

			<p>Hu beneficiaries using centralized e-procurement services and RO beneficiaries preferably using SEAP – electronic system for public procurement).</p> <ul style="list-style-type: none"> a. This is meant to provide a sound knowledge and sufficient information on the relevant market, allowing for a sound comparison of offers in terms of price and/or quality and a profound assessment of the adequacy of the price to be paid. b. Consequently, the value and the complexity of the service should be reflected in the specification and breakdown of the terms of reference, as well as in the respective offer. c. For ordering similar services / external expertise which has already been procured in the period of 3 months counted back at the time of the procurement, collecting of the bids (three independent offers) is not an obligation, but the previously requested offers can be used as proof. <p>2. Below EUR 2500 (excluding VAT): the principles of sound financial management must be</p>	
--	--	--	--	--

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

			<p>applied, but no specific proof of the market price is required. Note that procurement must not be split artificially to circumvent the 2500 Euro threshold. More strict approach can be applied on National level.</p> <ul style="list-style-type: none"> ➤ In order to ensure compliance with market prices, any purchase of goods, services or works (or other comparable transactions) will be carried out through a competitive, transparent, non-discriminatory and unconditional procedure. Regardless the procurement procedure, in case only one bid is submitted, this will not normally be sufficient to prove the market price. In this case, to justify the market price, for validation of the expenditure, 2 additional valid, comparable, independent offers will be provided (websites pricelists, if available) <p>Any procurement above EUR 2500 net, will be widely publicized, through at least the following channels: the Programme's website, the beneficiary's website. The announcement will be published prior to launching the procurement process.</p>	
--	--	--	--	--

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

10	<p>Guide for Applicants</p> <p>V. Application Form and annexes</p> <p>2 Project (Lead) Applicant Declaration</p>	<p>Neither the body/institution I represent, nor its legal representatives are subject to a conflict of interests; the conflict of interests represents any circumstances that may affect the evaluation or implementation process, in an objective and impartial manner. Such circumstances may result from economic interests, political or national preferences or family connections.</p>	<p>A completion was added:</p> <p>Neither the body/institution I represent, nor its legal representatives are subject to a conflict of interests, as defined by the national legislation; the conflict of interests represents any circumstances that may affect the evaluation or implementation process, in an objective and impartial manner. Such circumstances may result from economic interests, political or national preferences or family connections.</p>	Clarification
11	<p>Guide for Applicants</p> <p>V. Application Form and annexes</p> <p>2 Project (Lead) Applicant Declaration</p>	<p>The body/institution I represent commits itself not to carry out economic activities; however, if there are activities generating any revenue i.e. entrance tickets, the revenue will be used in order to ensure sustainability, or i.e. in case an operator needs to be selected, the selection will be based on a wide dissemination in compliance with the rules of public procurement;</p>	<p>A footnote was inserted:</p> <p>The body/institution I represent commits itself not to carry out economic activities¹; however, if there are activities generating any revenue i.e. entrance tickets, the revenue will be used in order to ensure sustainability, or i.e. in case an operator needs to be selected, the selection will be based on a wide dissemination in compliance with the rules of public procurement;</p> <p>¹ No commercial activities are to be carried out in the context of the project, during both the implementation and the sustainability periods.</p>	Clarification

Corrigendum No.3 to the Guide for Applicants, Open Call for Proposals – April 2017

12	<p>Guide for Applicants</p> <p>V. Application Form and annexes</p> <p>2 Project (Lead) Applicant Declaration</p>	<p><u>E. Statement on Applicant's contribution</u></p> <p>1) commits itself to the project and the activities laid down in the application form, and intends to provide the total amount of EUR [<i>insert amount</i>] as contribution to the project's budget as follows:</p> <table border="1" data-bbox="595 639 1122 1082"> <thead> <tr> <th>Type of contribution</th> <th>EUR (if applicable)</th> <th>From (if applicable)</th> </tr> </thead> <tbody> <tr> <td>Own public contribution</td> <td><i>insert amount</i></td> <td><i>insert name of the contributing organisation</i></td> </tr> <tr> <td>Other public contribution (national state budget)</td> <td><i>insert amount</i></td> <td><i>insert name of the contributing organisation</i></td> </tr> <tr> <td>Total</td> <td></td> <td></td> </tr> </tbody> </table>	Type of contribution	EUR (if applicable)	From (if applicable)	Own public contribution	<i>insert amount</i>	<i>insert name of the contributing organisation</i>	Other public contribution (national state budget)	<i>insert amount</i>	<i>insert name of the contributing organisation</i>	Total			<p><u>E. Statement on Applicant's contribution</u></p> <p>1) commits itself to the project and the activities laid down in the application form, and intends to provide the total amount of EUR [<i>insert amount</i>] as contribution to the project's budget as follows:</p> <table border="1" data-bbox="1272 651 1854 1070"> <thead> <tr> <th>Type of contribution</th> <th>EUR (if applicable)</th> <th>From (if applicable)</th> </tr> </thead> <tbody> <tr> <td>Own public contribution</td> <td><i>insert amount</i></td> <td><i>insert name of the contributing organisation</i></td> </tr> <tr> <td>Own private contribution</td> <td><i>insert amount</i></td> <td><i>insert name of the contributing organisation</i></td> </tr> <tr> <td>Total</td> <td></td> <td></td> </tr> </tbody> </table>	Type of contribution	EUR (if applicable)	From (if applicable)	Own public contribution	<i>insert amount</i>	<i>insert name of the contributing organisation</i>	Own private contribution	<i>insert amount</i>	<i>insert name of the contributing organisation</i>	Total			<p>Clarification</p>
Type of contribution	EUR (if applicable)	From (if applicable)																										
Own public contribution	<i>insert amount</i>	<i>insert name of the contributing organisation</i>																										
Other public contribution (national state budget)	<i>insert amount</i>	<i>insert name of the contributing organisation</i>																										
Total																												
Type of contribution	EUR (if applicable)	From (if applicable)																										
Own public contribution	<i>insert amount</i>	<i>insert name of the contributing organisation</i>																										
Own private contribution	<i>insert amount</i>	<i>insert name of the contributing organisation</i>																										
Total																												