

## Guidelines on indicators

### Introduction

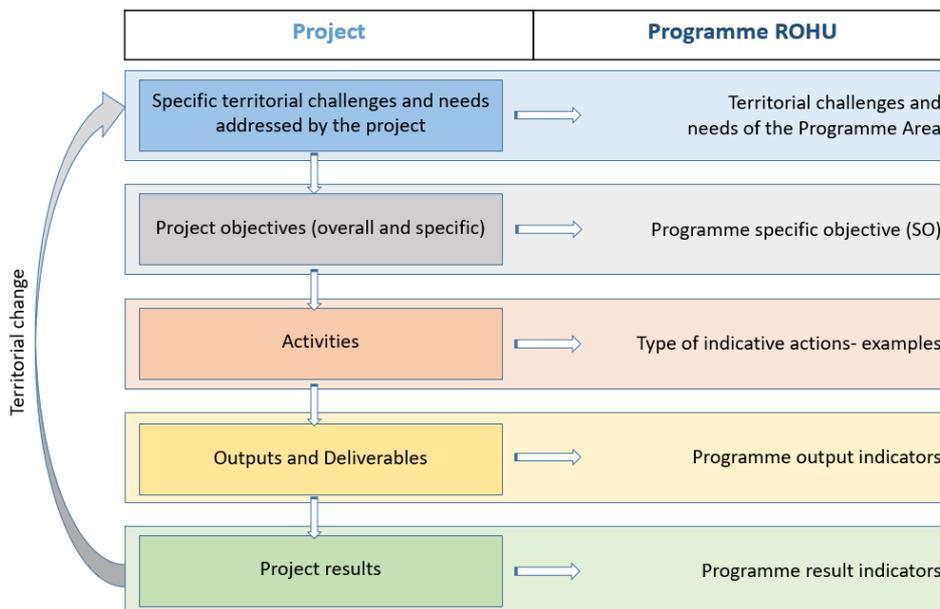
The present Annex presents the definitions and specifications of output and results indicators used in the Interreg VI-A Romania-Hungary Programme (ROHU). The definitions and numbering are based on the European Commission's document *"Performance, monitoring and evaluation of the European Regional Development Fund, the Cohesion Fund and the Just Transition Fund in 2021-2027"* (SWD(2021) 198 final).

### 1. Project intervention logic and indicators

Same as the Programme, each project has to follow a clear intervention logic that describes the specific challenges and needs addressed by the project, objectives, planned activities, outputs and expected results of the project.

Coherence of the project intervention logic with the Programme intervention logic of the targeted programme SO and the related programme results is a pre-condition for a project to be approved and financed. Therefore, all project outputs and results have to contribute to Programme output and result indicators.

### Correlation between Programme and Project intervention logic



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In the application phase, each project has to select the relevant indicators (output<sup>1</sup> and related result<sup>2</sup> indicators) and include a realistic quantification of its targets in the application form. The progress and final achievement of these targets have to be reported through the progress reports, during the implementation phase. Hence, it is very important that applicants/beneficiaries understand the meaning of indicators and how their achievement shall be counted.

In the table below are presented which are the indicators that have to be selected from by the projects submitted, per Priorities and their Specific objectives.

Priority	Policy Objective (PO)/ Specific Objective (SO)	Output indicator	Result indicator	Output indicator	Result indicator	Result indicator	Output indicator	Result indicator	Output indicator	Result indicator	Output indicator	Result indicator
		RCO87	RCR84	RCO116	RCR104	RCR73	RCO81	RCR85	RCO83	RCR79	RCO77	RCR77
		Interreg: Organisations cooperating across borders	Interreg: Organisations cooperating post-project	Interreg: Jointly developed solutions	Interreg: Solutions taken up or up-scaled by organisations	Annual users of new or modernised health care facilities	Interreg: Participation in joint actions across borders	Interreg: Participations in actions post-project	Interreg: Strategies and action plans jointly developed	Interreg: Joint strategies and action plans taken up by organisations	Number of cultural and tourism sites supported	Visitors of cultural and tourism sites supported
P1	PO2-SO2.2	x	x	x	x		x	x	x	x		
P1	PO2-SO2.4	x	x	x	x		x	x	x	x		
P1	PO2-SO2.7	x	x	x	x		x	x	x	x		
P2	PO4- SO4.5	x	x	x		x	x	x	x			
P2	PO4- SO4.6	x	x				x	x	x	x	x	x
P3	ISO1-6.1	x	x				x	x	x	x		
P3	ISO1-6.2	x	x				x	x	x	x		
P3	ISO1-6.3	x	x				x	x	x	x		

## 2. Programme Output indicators

RCO81 - Participations in joint actions across borders (Interreg)

<i>Indicator code</i>	RCO81	
<i>Indicator name</i>	Participations in joint actions across borders	
<i>Measurement unit</i>	Participations	
<i>Type of indicator</i>	Output	
<i>Target values</i>	at Programme level	3504
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 1 - PO2: 672
		SO2.2: 288
		SO2.4: 144
	Priority 2 - PO4: 1056	SO2.7: 240
		SO4.5: 528
	Priority 3 – ISO: 1776	SO4.6: 528
		ISO6.1: 48

<sup>1</sup> 'Output indicator' means an indicator to measure the specific deliverables of the intervention - Regulation 1060/2021 (CPR), Article 2.

A project output is a product that results from the implementation of project activities; outputs are grouped into the following types: participations, strategies and actions plans, organizations, solutions and sites supported.

<sup>2</sup> 'Result indicator' means an indicator to measure the effects of the interventions supported, with particular reference to the direct addressees, population targeted or users of infrastructure - Regulation 1060/2021 (CPR), Article 2.

Each result indicator is connected to one output indicator and the outputs (and deliverables) produced under one output indicator will contribute to the achievement of the corresponding result indicator.

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			ISO6.2: 48
			ISO6.3: 1680
<i>Definition, concepts and setup</i>	<p>The indicator counts the number of participations in joint actions across borders implemented in the supported projects.</p> <p>A joint action is considered as the action organised with the involvement of organizations from both sides of the border (i.e. exchange activities or exchange visits organized with partners across borders). Participations (i.e. number of persons attending a joint action across borders - e.g. citizens, volunteers, students, pupils, public officials, etc.) are counted for each joint action organised on the basis of attendance lists or other relevant means of quantification.</p> <p>At least 2 joint actions per year, with a minimum number of 12 participants has to be organised. For example, if a project has a duration of 2 years, the partners will have to organize at least 4 joint actions, with a minimum number of 48 participants.</p> <p>The number of participants will be calculated on the basis of lists of participants.</p> <p>Participations in public events (such as those related to communication activities) should not be counted in RCO81.</p> <p>When reporting on RCO81, the participations in internal project meetings of the partners should not be counted.</p>		
<i>Time of measurement for achievement</i>	Upon project finalisation		
<i>Corresponding result indicator</i>	In terms of links with common result indicator, RCO81 will be used together with RCR85 - Participations in joint actions across borders after project completion		

RCO83 - Strategies and action plans jointly developed (Interreg)

<i>Indicator code</i>	RCO83		
<i>Indicator name</i>	Strategies and action plans jointly developed		
<i>Measurement unit</i>	strategy / action plan		
<i>Type of indicator</i>	Output		
<i>Target values</i>	at Programme level	29	
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 1 - PO2: 8	SO2.2: 3
			SO2.4: 2
			SO2.7: 3
		Priority 2 - PO4: 13	SO4.5: 3
			SO4.6: 10
		Priority 3 - ISO1: 8	ISO6.1: 1
	ISO6.2: 1		
	ISO6.3: 6		

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*Definition, concepts and setup*

The indicator counts the number of joint strategies or action plans developed by supported projects.

A jointly developed strategy aims at establishing a targeted way to achieve a goal-oriented process in a specific domain.

An action plan translates an existing jointly developed strategy into actions. Jointly developed strategy or action plan implies the involvement of organizations from both sides of the border in the drafting process of the strategy or action plan.

- o for SO2.2, SO2.4 and SO2.7: all projects will generate at least one strategy / action plan and / or a joint solution;
- o for SO4.5: 30% projects will contribute to the development of joint strategies and action plans in the field of health-care services;
- o for SO 4.6: all cultural / tourist sites will be associated with one strategy / action plan;
- o for ISO 1: all projects under ISO 6.1 and ISO 6.2 will have at least one strategy / action plan, whilst, due to the nature of ISO 6.3 small-scale interventions, a lowest share of projects is expected to generate joint strategies / action plans.

*Time of measurement for achievement*

Upon project finalisation

*Corresponding result indicator*

In terms of links with common result indicator, RCO83 will be used together with RCR79- Joint strategies and action plans taken up by organisations

**RCO87 - Organisation cooperating across borders (Interreg)**

*Indicator code*

RCO87

*Indicator name*

Organisations cooperating across borders

*Measurement unit*

Organisations

*Type of indicator*

Output

*Target values*

at Programme level	150	
at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 1 - PO2: 32	SO2.2: 15
		SO2.4: 7
		SO2.7: 10
	Priority 2 - PO4: 44	SO4.5: 22
		SO4.6: 22
	Priority 3 - ISO1: 74	ISO6.1: 2
		ISO6.2: 2
		ISO6.3:70

*Definition, concepts and setup*

The indicator counts the organisations cooperating formally in supported projects.

The organisations counted in this indicator are the legal entities including project partners and associated organizations, as mentioned

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	<p>in the financing agreement of the application. Organisations cooperating formally in small-scale projects are also counted. This indicator has to be selected by all projects supported.</p> <p>At programme level, double counting should be avoided at the level of project partners and associated organizations.</p>
<i>Time of measurement for achievement</i>	Upon project finalisation
<i>Corresponding result indicator</i>	In terms of links with common result indicator, RCO87 will be used together with RCR84 - Organisations cooperating across borders after project completion

RCO116 - Jointly developed solutions (Interreg)

<i>Indicator code</i>	RCO116		
<i>Indicator name</i>	Jointly developed solutions		
<i>Measurement unit</i>	Solutions		
<i>Type of indicator</i>	Output		
<i>Target values</i>	at Programme level	14	
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 1 - PO2: 6	SO2.2: 3
			SO2.4: 1
			SO2.7: 2
		Priority 2 - PO4: 8	SO4.5: 8
<i>Definition, concepts and setup</i>	<p>The indicator counts the number of jointly developed solutions implemented by supported projects. In order to be counted in the indicator, an identified solution should include indications of the actions needed for it to be taken up or to be upscaled.</p> <p>These actions shall be further reflected in a joint agreement / protocol / strategy / plan for future joint action, which will be used to demonstrate the stakeholders' engagement underlying RCR104.</p> <p>A jointly developed solution implies the involvement of organizations from at least two participating countries in the drafting and design process of the solution.</p> <p>In order to avoid double counting, the solutions relevant for this indicator should not have the main topics linked to administrative or legal frameworks.</p> <ul style="list-style-type: none"> <li>o more than 70% of projects are expected to generate joint solutions in the healthcare sector under SO4.5</li> <li>o 45-50% of projects are expected to generate joint solutions under SO2.4 and SO2.7</li> <li>o 30% of projects are expected to have joint solutions (as output under SO2.2)</li> </ul>		
<i>Time of measurement for achievement</i>	Upon project finalisation		

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*Corresponding result indicator*

In terms of links with common result indicator, RCO116 will be used together with:

- RCR104 - Solutions taken up or up-scaled by organisations (for projects within PO2- P1 - SO2.2; SO2.4 and SO2.7)
- RCR73 - Annual users of new or modernised health care facilities (for projects within PO4 – P2 - SO4.5)

RCO77 - Number of cultural and tourism sites supported (ERDF)

<i>Indicator code</i>	RCO77		
<i>Indicator name</i>	Number of cultural and tourism sites supported		
<i>Measurement unit</i>	Cultural and tourism sites		
<i>Type of indicator</i>	Output		
<i>Target values</i>	at Programme level	10	
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 2 - PO4: 10	SO4.6: 10
<i>Definition, concepts and setup</i>	<p>Number of cultural and tourism sites supported by the Funds.</p> <p>Each project shall support at least one cultural and tourism site. However, projects may support more than one cultural and tourism sites, especially in the case of OSI and projects with budgets above 2 million euro (ERDF contribution).</p>		
<i>Time of measurement for achievement</i>	Upon completion of output in supported project		
<i>Corresponding result indicator</i>	In terms of links with common result indicator, RCO77 will be used together with RCR77 – Visitors of cultural and tourism sites supported		

**3. Programme Result indicators**

RCR79 - Joint strategies and action plans taken up by organisations (Interreg)

<i>Indicator code</i>	RCR79		
<i>Indicator name</i>	Joint strategies and action plans taken up by organisations		
<i>Measurement unit</i>	strategy / action plan		
<i>Type of indicator</i>	Result		
<i>Baseline</i>	Should be set to 0		
<i>Target values</i>	at Programme level	20	
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 1 - PO2: 8	SO2.2: 3
			SO2.4: 2
			SO2.7: 3
		Priority 2 - PO4: 7	SO4.5: 3
SO4.6: 4			

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		Priority 3 - ISO1: 5	ISO 6.1: 1
			ISO 6.2: 1
			ISO6.3: 3
<i>Definition, concepts and setup</i>	<p>The indicator counts the number of joint strategies and action plans (not individual actions) adopted and implemented by organisations during or after the project completion. At the time of reporting this indicator, the implementation of the joint strategy or action plan do not need to be completed, however it shall be effectively started (i.e. planning of activities, launch event, etc.)</p> <p>The organisations involved in take-up may or may not be direct participants in the supported project. It is not necessary that all actions identified in a joint strategy/action plan are taken-up for such a strategy/action plan to be counted under this indicator.</p> <p>The target (as set in the AF) and the reported value for this indicator should be equal to or less than the value for RCO83.</p>		
<i>Time of measurement for achievement</i>	Up to one year after project completion		
<i>Corresponding result indicator</i>	In terms of links with common output indicator, RCR79 will be used together with RCO83- Strategies and action plans jointly developed.		

RCR84 - Organisations cooperating across borders after project completion (Interreg)

<i>Indicator code</i>	RCR84		
<i>Indicator name</i>	Organisations cooperating across borders after project completion		
<i>Measurement unit</i>	Organisations		
<i>Type of indicator</i>	Result		
<i>Baseline</i>	Should be set to 0		
<i>Target values</i>	at Programme level	40	
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 1 - PO2: 16	SO2.2: 6
			SO2.4: 4
			SO2.7: 6
		Priority 2 - PO4: 14	SO4.5: 6
			SO4.6: 8
		Priority 3 - ISO1: 10	ISO6.1: 2
	ISO6.2: 2		
	ISO6.3: 6		
<i>Definition, concepts and setup</i>	The indicator counts the organisations cooperating across borders after the completion of the supported projects. The organisations are		

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	<p>legal entities involved in project implementation, counted within RCO87.</p> <p>The cooperation concept should be interpreted as having a statement that the entities have a formal agreement to continue cooperation, after the end of the supported project. The cooperation agreements may be established during the implementation of the project or within one year after the project completion. The sustained cooperation does not have to cover the same topic as addressed by the completed project.</p> <p>The target (as set in the AF) and the reported value for this result indicator RCR84 should be equal to or less than the value of corresponding indicator RCO87- Organisations cooperating across borders.</p> <p>It is anticipated that at least two partner organisations cooperating in joint strategies / action plans shall demonstrate their commitment for strategies / action plans taken up after project implementation and will thus continue to cooperate after project closure.</p> <p>At programme level, double counting should be avoided at the level of project partners and associated organizations.</p>
<i>Time of measurement for achievement</i>	During project implementation / Up to one year after project completion
<i>Corresponding result indicator</i>	In terms of links with common output indicators, RCR84 will be used together with RCO87 - Organisations cooperating across borders

RCR85 - Participations in joint actions across borders after project completion (Interreg)

<i>Indicator code</i>	RCR85		
<i>Indicator name</i>	Participations in joint actions across borders after project completion		
<i>Measurement unit</i>	Participations		
<i>Type of indicator</i>	Result		
<i>Baseline</i>	Should be set to 0		
<i>Target values</i>	at Programme level	350	
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 1 - PO2: 66	SO2.2: 28
			SO2.4: 14
			SO2.7: 24
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 2 - PO4: 106	SO4.5: 53
			SO4.6: 53
		Priority 3 - ISO1: 178	ISO6.1: 5
		ISO6.2: 5	
		ISO6.3: 168	

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*Definition and concepts*

The indicator counts the number of participations in joint actions across borders after the completion of the project, organised by all or some of the former partners or associated organisations within the project, as a continuation of cooperation.

Joint actions across borders could include, for instance, exchange activities or exchange visits organized with participants from both sides of the border. Participations (i.e. number of persons attending a joint action across borders) are counted for each joint action organised on the basis of attendance lists or other relevant means of quantification.

At least 1 joint action should be organised, with 40% of participants initially involved in these kinds of actions during the project implementation.

*Time of measurement for achievement*

Up to one year after project completion

*Corresponding result indicator*

In terms of links with common output indicator, RCO85 will be used together with RCR81 - Participations in joint actions across borders

RCR104 - Solutions taken up or up-scaled by organisations (Interreg)

*Indicator code*

RCR104

*Indicator name*

Solutions taken up or up-scaled by organisations

*Measurement unit*

Solutions

*Type of indicator*

Result

*Baseline*

Should be set to 0

*Target values*

at Programme level	6	
at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 1 - PO2: 6	SO2.2: 3
		SO2.4: 1
		SO2.7: 2

*Definition, concepts and setup*

The indicator counts the number of solutions, other than legal or administrative solutions, that were developed by supported projects and are taken up or upscaled during the implementation of the project or within one year after project completion.

The organisation adopting the solutions developed by the project may or may not be a participant in the project. The uptake / up-scaling should be documented by the adopting organisations in, for instance, strategies, action plans, MoU, protocols, agreements, decisions of the management board / representative etc.

The target (as set in the AF) and the reported value for this result indicator RCR104 should be equal to the value of corresponding output indicator RCO116- Jointly developed solutions within Priority 1 - PO2.

It is assumed that all joint solutions developed under projects within Priority 1 - PO2 will be taken up or up-scaled, which valorise the outcomes of the project, ensuring the consolidation of cooperation

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<i>Time of measurement for achievement</i>	procedures and systems or their transfer to other sectors / organisations / territories.
<i>Corresponding result indicator</i>	During project implementation / up to one year after project completion
<i>Corresponding result indicator</i>	In terms of links with common result indicator, RCR104 will be used together with RCO116 - Jointly developed solutions

RCR73 - Annual users of new or modernised health care facilities (ERDF)

<i>Indicator code</i>	RCR73		
<i>Indicator name</i>	Annual users of new or modernised health care facilities		
<i>Measurement unit</i>	Users/year		
<i>Type of indicator</i>	Result		
<i>Baseline</i>	≥ 0 (see definition)		
<i>Target values</i>	at Programme level	32,476	
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 2 - PO4:	SO4.5: 32,476
		32,476	
<i>Definition, concepts and setup</i>	<p>Number of patients served by the new or modernised health care facility during the year after the completion of the intervention. One individual can be counted more than once if using facilities multiple times. The indicator baseline refers to the registered patients served at least once by the health care facility during the year before the start of the intervention, and it can be zero for new facilities.</p> <p>Healthcare facilities include hospitals, clinics, outpatient care centres, specialized care centres etc.</p> <p>The total budget allocated to SO4.5 (46,684,946 Euro) has been divided into the average annual expenditure (1,437.5 Euro) on health per capita PPS for Romania (1,324 Euro) and Hungary (1,551 Euro), which has allowed to obtain a total number of 32,476 persons, that can be supported through such investments.</p> <p>When setting the target, projects under SO4.5 should consider the average annual expenditure (1,437.5 Euro) on health per capita PPS for Romania (1,324 Euro) and Hungary (1,551 Euro).</p>		
<i>Time of measurement for achievement</i>	One year starting with the time when the new or modernised health care facility becomes operational		
<i>Corresponding result indicator</i>	In terms of links with common output indicator, RCR73 will be used together with RCO116 - Jointly developed solutions		

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RCR77 - Visitors of cultural and tourism sites supported (ERDF)

<i>Indicator code</i>	RCR77		
<i>Indicator name</i>	Visitors of cultural and tourism sites supported		
<i>Measurement unit</i>	Visitors/year		
<i>Type of indicator</i>	Result		
<i>Baseline</i>	≥ 0		
<i>Target values</i>	at Programme level	15,710	
	at Priority - Policy Objective (PO) / Specific Objective (SO) level	Priority 2 - PO4: 15,710	SO4.6: 15,710
<i>Definition, concepts and setup</i>	<p>Estimated number of annual visitors of cultural and tourism sites supported. The estimation of the number of visitors should be carried out ex post one year after the completion of the intervention.</p> <p>The baseline of the indicator refers to the estimated annual number of visitors of the supported sites the year before the intervention starts, and it is zero for new cultural and tourism sites. The indicator does not cover natural sites for which an accurate estimation of number of visitors is not feasible.</p> <p>The total budget allocated to tourist sites (40% of total allocation for SO4.6), amounting to EUR 18,673,978 has been divided into this coefficient, which has allowed to obtain an estimation of around 15,710 visitors / year at programme level. Considering the estimated number of 10 sites, this would mean an average 1,571 visitors / year / site.</p>		
<i>Time of measurement for achievement</i>	One year after the completion of output in the supported project		
<i>Corresponding result indicator</i>	In terms of links with common output indicator, RCR77 will be used together with RCO77 – Number of cultural and tourism sites supported		